

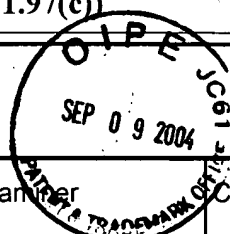
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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
11072.6

In Re Application Of:

Jason A. Sullivan



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/691,473	October 22, 2003	To be assigned	21999	2835	5959

Title:

**NON-PERIPHERALS PROCESSING CONTROL MODULE HAVING IMPROVED HEAT DISSIPATING PROPERTIES**

Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

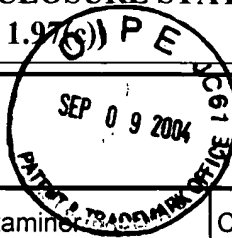
(Under 37 CFR 1.97(b) or 1.97(g))

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10/691,473	October 22, 2003	To be assigned	21999	2835	5959

**NON-PERIPHERALS PROCESSING CONTROL MODULE HAVING IMPROVED HEAT DISSIPATING PROPERTIES****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **500843** as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

(Date) \_\_\_\_\_

Signature \_\_\_\_\_

Typed or Printed Name of Person Signing Certificate \_\_\_\_\_

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on September 7, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Signature of Person Mailing Correspondence \_\_\_\_\_

Berne S. Broadbent

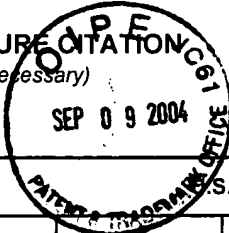
Typed or Printed Name of Person Mailing Certificate \_\_\_\_\_

\*This certificate may only be used if paying by deposit account.

Dated: September 7, 2004

  
Signature  
Berne S. Broadbent  
Attorney for Applicant  
Registration No. 30,550  
KIRTON & McCONKIE  
60 East South Temple, Suite 1800  
Salt Lake City, Utah 84111  
Telephone: (801) 328-3600  
Fascimile: (801) 321-4893

CC:

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. <div style="text-align: center;">11072.6</div>		APPLICATION NO. <div style="text-align: center;">10/691,473</div>	
				APPLICANT(S) <b>Jason A. Sullivan</b>		GROUP ART UNIT <div style="text-align: center;">2835</div>	
				FILING DATE <div style="text-align: center;">October 22, 2003</div>			
<b>U.S. PATENT DOCUMENTS</b>							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		3,787,818	01/22/1974	Arnold et al.	340	172.5	06/22/1972
		4,814,970	03/21/1989	Barbagelata et al.	364	200	12/08/1986
		4,816,993	03/28/1989	Takahashi et al.	364	200	12/18/1985
		4,876,644	10/24/1989	Nuechterlein et al.	364	200	03/28/1989
		5,136,718	08/04/1992	Haydt	395	800	08/06/1990
<b>U.S. PATENT APPLICATION PUBLICATIONS</b>							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		2002/0097555 A1	07/25/2002	Smith et al.	361	683	01/19/2001
		2003/0005073 A1	01/02/2003	Yoshizawa et al.	709	213	09/05/2002
		2003/0090878 A1	05/15/2003	Merkin	361	724	11/09/2001
		2003/0156383 A1	08/21/2003	Jenkins et al.	361	683	02/26/2003
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
EXAMINER				DATE CONSIDERED			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	Jason A. Sullivan	
	FILING <b>October 22, 2003</b>	GROUP ART <b>2835</b>

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,227,957	07/13/1993	Deters	361	395	05/14/1992
		5,311,397	05/10/1994	Harshberger et al.	361	683	08/06/1992
		5,377,356	12/27/1994	Molyneaux	395	800	04/18/1991
		5,504,918	04/02/1996	Collette et al.	395	800	07/30/1992
		5,671,430	09/23/1997	Gunzinger	395	800	06/24/1994

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS								
*	EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
								YES      NO

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>

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				Jason A. Sullivan				
				FILING October 22, 2003		GROUP ART 2835		
U.S. PATENT DOCUMENTS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		5,696,983	12/09/1997	Watanabe et al.	395	800	05/23/1995	
		5,761,033	06/02/1998	Wilhelm	361	686	09/09/1996	
		5,909,357	06/01/1999	Orr	361	687	04/24/1997	
		5,938,757	08/17/1999	Bertsch	712	36	10/17/1997	
		5,948,087	09/07/1999	Khan et al.	710	102	12/16/1996	
U.S. PATENT APPLICATION PUBLICATIONS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
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U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,999,952	12/07/1999	Jenkins et al.	708	100	01/20/1998
		6,021,453	02/01/2000	Klingman	710	129	09/09/1997
		6,035,356	03/07/2000	Khan et al.	710	101	04/21/1999
		6,157,533	12/05/2000	Sallam et al.	361	683	04/19/1999
		6,275,922 B1	08/14/2001	Bertsch	712	36	07/07/1999

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

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<b>U.S. PATENT DOCUMENTS</b>								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		6,446,192 B1	09/03/2002	Narasimhan et al.	712	29	06/04/1999	
		6,463,519 B1	10/08/2002	Yoshida et al.	712	28	12/15/2000	
		6,467,009 B1	10/15/2002	Winegarden et al.	710	305	10/14/1998	
		6,490,157 B2	12/03/2002	Unrein	361	687	09/01/1999	
<b>U.S. PATENT APPLICATION PUBLICATIONS</b>								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
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